

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Toshiharu ARISHIMA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_0961A
Filed December 24, 2004 :

LIPASE INHIBITOR
[Corresponding to PCT/JP2004/019360
Filed December 24, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

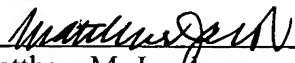
3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office (International) search report (in the English language) submitted herewith (Attachment E),
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Toshiharu ARISHIMA et al.

By


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APR Rec'd PCT/PTO 19 JUN 2006

Sheet 1 of 1

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FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: June 19, 2006				ATTY DOCKET NO. 2006_0961A		SERIAL NO. NEW	
				APPLICANT Toshiharu ARISHIMA et al.			
				FILING DATE December 24, 2004		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AD	5-59392	03/1993	JP			abstract
	AE	2002-524612	08/2002	JP			corresponds to AF
	AF	00/15043	03/2000	WO			corresponds to AE
	AG	2001-40387	02/2001	JP			corresponds to AH
	AH	01/09272 A1	02/2001	WO			corresponds to AG
	AI	1106681	08/1981	CA			
	AJ	2001-523714	11/2001	JP			corresponds to AK
	AK	99/26619	06/1999	WO			corresponds to AJ
	AL	2003-119150	04/2003	JP			abstract
	AM	2002-188096	07/2002	JP			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AN	Nakabo, Y. et al., "1,3-dicaproyl-2-stearoyl-glycerol", <i>Digestion & Absorption</i> , Vol. 14, No. 2, pp. 31 to 34 (1991).
	AO	Softly, B. et al., "Composition of Representative SALATRIM Fat Preparations", <i>J. Agric. Food Chem.</i> , Vol. 42, pp. 461 to 467 (1994).
	AP	Spener, F. et al., "The Intestinal Absorption of Glycerol Trioctadecenyl Ether", <i>Biochimica et Biophysica Acta</i> , Vol. 152, pp. 368 to 371 (1968).

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.